

FORM PTO-1390 (REV. 1-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 41577/272081
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) unknown 10/089498
INTERNATIONAL APPLICATION NO. PCT/GB00/03743	INTERNATIONAL FILING DATE 29 September 2000 (29.09.00)	PRIORITY DATE CLAIMED 29 September 1999 (29.09.99)	
TITLE OF INVENTION REACTION SYSTEM FOR PERFORMING IN THE AMPLIFICATION OF NUCLEIC ACIDS			
APPLICANT(S) FOR DO/EO/US LEE, Martin Alan; BIRD, Hilary; LESLIE, Dario Lyall; SQUIRRELL, David James; SHAW, John; WENN, David; DEACON, Julie			
<p>Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 37 (b) and PCT Articles 22 and 39(1). <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as published (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> A translation of the published International Application into English (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.197 and 1.98 <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> Certification Under 37 CFR 1.10 <p>I hereby certify that this document is being mailed to Box PCT, Commissioner for Patents, Washington, D.C. 20231, via "Express Mail Post Office to Addressee" on this 28th day of March, 2002, Express Mail Label No. 0209599352US</p> <p><u>Angela M. Rossi</u> Angela M. Rossi</p> <ol style="list-style-type: none"> International Preliminary Examination Report with amended page 1 Version with markings to show changes made 			

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) Unknown		INTERNATIONAL APPLICATION NO. PCT/GB00/03743		ATTORNEY'S DOCKET NUMBER 41577/272081	
17- <input checked="" type="checkbox"/> The following fees are submitted BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				CALCULATIONS PTO USE ONLY	
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO				\$1,040.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO				\$890.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO				\$740.00	
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)				\$710.00	
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	35	15	X \$18.00	\$270.00	
Independent claims	03	00	X \$84.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,160.00	
Reduction of 1/2 for filing by small entity, if applicable.				\$0.00	
SUBTOTAL =				\$1,160.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00	
TOTAL NATIONAL FEE =				\$1,160.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				\$40.00	
TOTAL FEES ENCLOSED =				\$1,200.00	
				Amount to be refunded:	\$
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<p>a. <input checked="" type="checkbox"/> Check in the amount of \$1,200.00 is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 11-0855 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0855.</p>					
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SEND ALL CORRESPONDENCE TO:					
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IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Applicants: LEE, Martin Alan, et al.

International
Application No.: PCT/GB00/03743

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Filing Date: 29 September 2000 (29.09.00)

U.S. Filing Date: 28 March 2002 (29.03.02)

For: REACTION SYSTEM FOR PERFORMING IN THE
AMPLIFICATION OF NUCLEIC ACIDS

Box PCT
Commissioner for Patents
Washington, D.C. 20231

Attorney Docket No. 41577/272081
Date: 28 March 2002

PRELIMINARY AMENDMENT

Sir:

Kindly amend the above-identified patent application prior to examination:

In the Specification

On page 1, immediately following the title, kindly insert the following paragraph:

--This application claims priority to Great Britain Application No. 9922971.8 filed on September 29, 1999 and International Application No. PCT/GB00/03743 filed on September 29, 2000 and published in English as International Publication Number WO 01/23093 A1 on April 5, 2001, the entire contents of each are incorporated herein by reference.--

In the Claims

Kindly rewrite the claims as follows:

2. (Amended) The method according to claim 1 wherein the p.H. of the buffer system is above 8.3.

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PRELIMINARY AMENDMENT

3. (Amended) The method according to claim 2 wherein the p.H. of the buffer system is from 8.7-9.0.
4. (Amended) The method according to claim 1 wherein the buffer system comprises from 30-70mMTris HCl.
5. (Amended) The method according to claim 5 wherein the buffer system comprises about 50mM Tris HCl pH 8.8 @ 25°C.
6. (Amended) The method according to claim 1 wherein the reaction mixture further comprises from 0.01 to 0.1% v/v detergents.
7. (Amended) The method according to claim 6 wherein the said detergent comprises Tween™ or Triton™.
8. (Amended) The method according to claim 1 wherein the reaction is effected in the presence of a blocking agent which comprises bovine serum albumin (BSA).
9. (Amended) The method according to claim 1 wherein the thermally conducting layer of the disposable unit is metal.

10. (Amended) The method according to claim 1 wherein the thermally conducting metal layer of the disposable unit is aluminium.
11. (Amended) The method according to claim 1 wherein the thermally conducting metal layer is coated with a plastic or other biocompatible layer.
12. (Amended) The method according to claim 11 wherein the biocompatible layer is polystyrene.
13. (Amended) The method according to claim 1 wherein the thermally conducting layer and the facing layer of the disposable unit are heat sealed together.
14. (Amended) The method according to claim 1 wherein the facing layer of the disposable unit comprises a thermally conducting layer.
15. (Amended) The method according to claim 1 wherein the facing layer of the disposable unit is of a transparent biocompatible plastics material.
16. (Amended) The method according to claim 1 which further comprises a spacing layer having holes and channels to define reagent wells and channels adhered between the thermally conducting layer and the facing layer.

17. (Amended) The method according to claim 16 wherein the layers are adhered together by means of a biocompatible adhesive.
18. (Amended) The method according to claim 1 wherein spacer means are provided within each reagent well.
19. (Amended) The method according to claim 1 wherein the wells are pre-dosed with dried reagents.
20. (Amended) The method according to claim 15 wherein the dried reagents are PCR reagent primers or probes.
21. (Amended) The method according to claim 1 wherein the disposable unit contains a plurality of wells and each well is fed by a common channel which has a single opening to the outside of the unit.
22. (Amended) The method according to claim 1 wherein the disposable unit is placed in apparatus comprising at least two heating blocks, each of which is under the control of an automatic temperature control means, and conveyor means for holding and transferring a disposable unit according to any one of the preceding claims sequentially between the blocks.

23. (Amended) The method according to claim 22 wherein the apparatus further comprises an actuator above each block and arranged to clamp the disposable unit against the respective block.
24. (Amended) The method according to claim 22 wherein the heating block is segregated and each segment is held at a different temperature.
25. (Amended) The method according to claim 1 wherein the disposable unit is integral with or arranged in close proximity to an electrically conducting polymer.
26. (Amended) The method according to claim 1 wherein the presence of labelled reagents within the disposable unit is monitored.
28. (Amended) The kit according to claim 27 wherein the thermally conducting layer of the disposable unit is a metal layer.
29. (Amended) A disposable unit for conducting a thermal cycling reaction, said unit comprising a thermally conducting layer and a facing layer having a plurality of reagent wells defined therebetween, wherein all the wells are fed by a common channel which includes a single opening to the outside of the unit.

30. (Amended) The disposable unit according to claim 29 wherein the wells are predosed with dried reagents.
31. (Amended) The disposable unit according to claim 30 wherein the dried reagents are PCR reagent primers or probes.
32. (Amended) The disposable unit according to claim 29 wherein said thermally conducting layer is a metal layer.
33. (Amended) A method of filling a disposable unit according to claim 29 with a liquid, said method comprising using air pressure to force liquid into said unit.
34. (Amended) The method according to claim 33 which comprises placing the unit and said liquid in a vacuum chamber, reducing pressure in said chamber such that air is evacuated from the disposable unit, immersing at least the opening of said unit in said liquid, and increasing pressure in said chamber such that liquid is forced to enter the unit through the opening.
35. (Amended) The method according to claim 34 wherein the opening is immersed in said liquid before the pressure in the chamber is reduced.

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PRELIMINARY AMENDMENT

Remarks

Applicants submit that none of the above amendments are being made for reasons related to patentability, but are instead being made to put the application in proper format.

No new matter has been added.

Respectfully submitted,



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2. (Amended) The [A] method according to claim 1 wherein the p.H. of the buffer system is above 8.3.
3. (Amended) The [A] method according to claim 2 wherein the p.H. of the buffer system is from 8.7-9.0.
4. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the buffer system comprises from 30-70mMTris HCl.
5. (Amended) The [A] method according to claim 5 wherein the buffer system comprises about 50mM Tris HCl pH 8.8 @ 25°C.
6. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the reaction mixture further comprises from 0.01 to 0.1% v/v detergents
7. (Amended) The [A] method according to claim 6 wherein the said detergent comprises Tween™ or Triton™.

8. (Amended) The [A] method according to [anyone of the preceding] claim[s] 1 wherein the reaction is effected in the presence of a blocking agent which comprises bovine serum albumin (BSA).
9. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the thermally conducting layer of the disposable unit is metal.
10. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the thermally conducting metal layer of the disposable unit is aluminium.
11. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the thermally conducting metal layer is coated with a plastic or other biocompatible layer.
12. (Amended) The [A] method according to claim 11 wherein the biocompatible layer is polystyrene.
13. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the thermally conducting layer and the facing layer of the disposable unit are heat sealed together.

14. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the facing layer of the disposable unit comprises a thermally conducting layer.
15. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the facing layer of the disposable unit is of a transparent biocompatible plastics material.
16. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 which further comprises a spacing layer having holes and channels to define reagent wells and channels adhered between the thermally conducting layer and the facing layer.
17. (Amended) The [A] method according to claim 16 wherein the layers are adhered together by means of a biocompatible adhesive.
18. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein spacer means are provided within each reagent well.
19. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the wells are pre-dosed with dried reagents.

20. (Amended) The [A] method according to claim 15 wherein the dried reagents are PCR reagent primers or probes.

21. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the disposable unit contains a plurality of wells and each well is fed by a common channel which has a single opening to the outside of the unit.

22. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the disposable unit is placed in apparatus comprising at least two heating blocks, each of which is under the control of an automatic temperature control means, and conveyor means for holding and transferring a disposable unit according to any one of the preceding claims sequentially between the blocks.

23. (Amended) The [A] method according to claim 22 wherein the apparatus further comprises an actuator above each block and arranged to clamp the disposable unit against the respective block.

24. (Amended) The [A] method according to claim 22 [or claim 23] wherein the heating block is segregated and each segment is held at a different temperature.

25. (Amended) The [A] method according to [any one of]claim[s] 1 [to 21] wherein the disposable unit is integral with or arranged in close proximity to an electrically conducting polymer.
26. (Amended) The [A] method according to [any one of the preceding] claim[s] 1 wherein the presence of labelled reagents within the disposable unit is monitored.
28. (Amended) The [A] kit according to claim 27 wherein the thermally conducting layer of the disposable unit is a metal layer.
29. (Amended) A disposable unit for conducting a thermal cycling reaction, said unit comprising a thermally conducting layer and a facing layer having a plurality of reagent wells defined therebetween, [characterised in that] wherein all the wells are fed by a common channel which includes a single opening to the outside of the unit.
30. (Amended) The [A] disposable unit according to claim 29 wherein the wells are predosed with dried reagents.
31. (Amended) The [A] disposable unit according to claim 30 wherein the dried reagents are PCR reagent primers or probes.

32. (Amended) The [A] disposable unit according to [any one of] claim[s] 29 [to 31] wherein said thermally conducting layer is a metal layer.

33. (Amended) A method of filling a disposable unit according to [any one of] claim[s] 29 [to 32] with a liquid, said method comprising using air pressure to force liquid into said unit.

34. (Amended) The [A] method according to claim 33 which comprises placing the unit and said liquid in a vacuum chamber, reducing pressure in said chamber such that air is evacuated from the disposable unit, immersing at least the opening of said unit in said liquid, and increasing pressure in said chamber such that liquid is forced to enter the unit through the opening.

35. (Amended) The [A] method according to claim 34 wherein the opening is immersed in said liquid before the pressure in the chamber is reduced.

REACTION SYSTEM FOR PERFORMING THE AMPLIFICATION OF NUCLEIC ACIDS :

The present invention relates to a method of carrying out amplification reaction, in particular, the polymerase chain
5 reaction (PCR) using a disposable unit, and to disposable units used in the method.

The controlled heating of reaction vessels in such methods is often carried out using solid block heaters which are heated
10 and cooled by various methods. Current solid block heaters are heated by electrical elements or thermoelectric devices *inter alia*. Other reaction vessels may be heated by halogen bulb/turbulent air arrangements. The vessels may be cooled by thermoelectric devices, compressor refrigerator technologies,
15 forced air or cooling fluids.

The reaction vessels, which are generally tubes or curvettes, fit into the block heater with a variety of levels of snugness. Thus, the thermal contact between the block heater and the reaction vessel varies from one design of heater to another. In
20 reactions requiring multiple temperature stages, the temperature of the block heater can be adjusted using a programmable controller for example to allow thermal cycling to be carried out using the heaters.

A disadvantage of the known block heaters arises from the lag
25 time required to allow the heating block to heat and cool to the temperatures required by the reaction. Thus, the time to complete each reaction cycle is partially determined by the thermal dynamics of the heater in addition to the rate of the reaction. For reactions involving numerous cycles and multiple
30 temperature stages, this lag time significantly affects the time taken to complete the reaction. Thermal cyclers based on such block heaters typically take around 2 hours to complete 30 reaction cycles.

For many applications of the PCR technique it is desirable to complete the sequence of cycles in the minimum possible time. In particular for example where respiratory air or fluids or foods for human and animal stock consumption are suspected of contamination rapid diagnostic methods may save considerable money if not health, even lives.

Apparatus for thermally cycling a sample are described in WO98/09728. In this apparatus the reagents are held in a disposable unit which comprises a thin planar structure so as to ensure good thermal contact with reagents contained in the unit. The units are made either of plastics materials such as polycarbonate or polypropylene, or silicon. Silicon is preferred as the thermal conductivity ensures that the reagents are heated quickly. However in order to effect a PCR reaction, where biological reagents are employed, the silicon must be coated with a biocompatible layer.

Other forms of disposable unit are described for example in EP 0723812. These include units with metal elements such as aluminium. Although such units have good thermal properties, the fact that biological reagents are in contact with the surfaces of the unit across a high surface area (i.e. there is a high surface area:volume ratio) appears to magnify any incompatibilities of the reagents, to the extent that conventional PCR reaction conditions may fail to give a reaction.

The applicants have found that surprisingly PCR reactions can be successfully effected in units which have high surface area: volume ratios and are made of relatively simple, readily available components, and that metal substrates can be used under particular PCR conditions.

According to the present invention there is provided a method of carrying out an amplification reaction, said method

comprising supplying to a well in a disposable unit (a) a sample which contains or is suspected of containing a target nucleic acid sequence (b) primers, nucleotides and enzymes required to effect said amplification reaction and (c) a buffer system, and subjecting the unit to thermal cycling conditions such that any target nucleic acid present within the sample is amplified; wherein the disposable unit comprises a thermally conducting layer and a facing layer having one or more reagent wells of up to 1000 microns in depth defined therebetween; and the reaction mixture comprises at least one of the following:

- A) a buffer system wherein the p.H. is above 8.3;
- B) a detergent; and/or
- C) a blocking agent.

Target nucleic acids include DNA and RNA.

Suitable amplification reactions include the polymerase chain reaction as mentioned above. In this case, the primers used are amplification primers and the enzymes comprise nucleic acid polymerase, in particular thermally stable DNA polymerase such as TAQ polymerase.

Suitably the wells are from 100-1000 microns in depth and preferably less than 500 microns in depth. In particular wells are from 100-500 microns in depth. Depth in this context relates to the distance between the thermally conducting layer and the facing layer.

Preferably, at least a buffer system wherein the p.H. is above 8.3 is employed.

Suitable buffer systems which allow an amplification reaction to proceed will vary depending upon the particular nature of the materials used in the construction of the disposable units and the reaction taking place. Generally speaking, the buffers

used in conventional PCR reactions have a pH of the order of 8.3 and comprise 10mM Tris HCl solution. When these conditions have been used in the disposable units described above, it may not be possible to achieve a successful amplification reaction.

Buffers used in the method of the reaction are suitably at a higher pH than this. For example, the pH of the buffer is suitably from 8.5- 9.2, more suitably from 8.7-9.0 and preferably at about pH 8.8 @ 25°C

The applicants have found that buffers which are at higher concentrations than standard PCR buffers are preferred. Particularly suitable buffers for use in the amplification reaction of the invention comprise from 30-70mM Tris HCl and preferably about 50mM Tris HCl pH 8.8 @ 25°C.

Other suitable components for the buffer solution include 1.5mM MgCl.

Small amounts, for example from 0.01 to 0.1% v/v and preferably about 0.05% v/v, of detergents such as Tween™ or Triton™ may also be present.

A particular example of such a buffer system is one which comprises from 30-70mM Tris HCl pH 8.8 @ 25°C.

The presence of a blocking agent such as bovine serum albumin (BSA) has been found to be advantageous, in particular where the reagents undergoing reaction are directly in contact with the metal layer of the disposable unit.

Thereafter, amplification product can be detected for example, by removing the product from the well and separating it on an electrophoretic gel as is known in the art. Preferably

however, reagents used in the amplification such as the primers are labelled with a fluorescent label, or a fluorescently labelled probe, able to hybridise to the target sequence under conditions that may be generated within the disposable unit.

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Where the disposable unit comprises multiple wells, each may be pre-dosed with different PCR primers as well as the DNA polymerase enzyme. This gives the possibility that a single sample may be simultaneously tested for the presence of a range of different target sequences.

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Suitably the metal used in the thermally conducting layer of the disposable unit is aluminium. The aluminium facing layer is suitably in the form of an aluminium foil. If required the foil may be coated with a plastic or other biocompatible layer but this is not required in order to effect a successful PCR reaction in accordance with the invention. A particularly suitable coating material is polystyrene or other material which allows the layer to be heat-sealed to the facing layer.

This avoids the need for the presence of an adhesive. A particular example of heat-sealable polystyrene coated aluminium film is available from Advanced Biotechnologies, (Epsom UK), and is sold as Thermoseal AB-0598.

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The facing layer may be thermally conducting or thermally insulating depending upon whether it is intended to supply heat to the unit at one or both faces. Where a thermally conducting layer is required, it is suitably an aluminium layer, preferably with heat sealable coating for example of polystyrene. This allows ready manufacture of the units by heat sealing two layers together. Areas are left unsealed so as to provide one or more reagent wells between the layers as well, as channels allowing reagent materials to be introduced into the wells.

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In a preferred embodiment however, the facing layer is of a biocompatible plastics material such as polypropylene or polycarbonate, which is transparent. This allows the progress of reactions conducted in the wells to be monitored. For example, where the amplified reaction utilises visible label means, such as fluorescent labels, the progress of the reaction can be monitored using a fluorescence detection device as is well known in the art. Examples of suitable fluorescent assays are described for instance in International Patent Application No's PCT/GB98/03560, PCT/GB98/03563 and PCT/GB99/00504.

In a particularly preferred embodiment the unit used in the method has a composite structure comprising a spacing layer having holes and channels define the wells and channels adhered between the thermally conducting layer and the facing layer. Suitably the spacing layer is of a relatively rigid biocompatible plastics material such as polycarbonate. Where an adhesive is employed to secure the layers of the composite structure, the adhesive must itself be biocompatible. An example of such an adhesive is 7957MP adhesive available from 3M. Where component layers of the composite structure are heat sealable, then this may provide a preferred form of assembling the unit as the requirement for further chemicals in the vicinity of the reagent is avoided.

Preferably the unit contains a plurality of reagent wells, for example from 10-100 reagent wells, and generally from 30-96 wells. This form allows a plurality of different reactions to be effected at the same time. Reagents may be introduced by way of one or more channels provided in the unit and open at the edge thereof.

Suitably the wells are each connected to a common reagent channel to allow ingress of sample into each well. Suitably the channel is of sufficient dimensions to prevent mixing of

layers will be sufficiently thick to ensure that the well dimension is of the order of from 100-1000 microns, preferably from 100-500microns. Other spacing means, such as Ballotini balls, where used, will be suitably dimensioned to ensure this level of distance between the conducting layer and the facing layer in the wells.

Preferably the opening into wells within the unit is by way of a common channel which has a single opening in order to simplify the filling operation and to minimise the risk of contamination. In order to fill such a unit with a liquid sample, air must be expelled. This may be done by means of a pump arrangement or by filling the unit in a vacuum chamber. The access channel of the unit is placed in contact with a liquid sample which will generally include PCR buffers, within a vacuum chamber. The chamber is first evacuated to eliminate air from the unit. Subsequent return to pressure forces liquid into the wells in the unit.

This arrangement of disposable unit forms a further aspect of the invention. Thus in a further embodiment, the invention provides a disposable unit for conducting a thermal cycling reaction, said unit comprising a thermally conducting layer and a facing layer having a plurality of reagent wells defined therebetween, characterised in that all the wells are fed by a common channel which includes a single opening to the outside of the unit.

Suitably such units may include some or all the other preferred features described above. In particular the wells are predosed with dried reagents, such as PCR reagent primers or probes. In addition thermally conducting layer is suitably a metal layer.

In a further embodiment, the invention provides a method of filling a disposable unit as described above with a liquid,

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said method comprising using air pressure to force the liquid into the unit. This may be effected by placing the unit and said liquid in a vacuum chamber, reducing pressure in said chamber such that gas is evacuated from the disposable unit, 5 immersing at least the opening of said unit in said liquid, and increasing pressure in said chamber such that liquid is forced to enter the unit through the opening.

Preferably, the opening is immersed in said liquid before the 10 pressure in the chamber is reduced.

Suitable vacuum chambers include vacuum ovens as are known in the art.

15 The disposable units described above can be used in a variety of apparatus adapted for thermal cycling reactions including that described in WO98/09728.

In a particularly preferred embodiment however, the method is 20 effected in apparatus which comprises a plurality of heating blocks and conveyor means for holding and moving disposable units between the blocks. Suitably there are sufficient blocks to effect different stages of an amplification reaction. For example, a typical PCR reaction involves a cycling process of 25 three basic steps.

Denaturation : A mixture containing the PCR reagents (including the nucleic acid to be copied, the individual nucleotide bases (A,T,G,C), suitable primers and polymerase enzyme) are heated to a predetermined temperature to separate the two strands of 30 the target nucleic acid.

Annealing : The mixture is then cooled to another predetermined temperature and the primers locate their complementary sequences on the nucleic acid strands and bind to them.

Extension : The mixture is heated again to a further predetermined temperature. The polymerase enzyme (acting as a catalyst) joins the individual nucleotide bases to the end of the primer to form a new strand of nucleic acid which is
5 complementary to the sequence of the target nucleic acid, the two strands being bound together.

Typical denaturation temperatures are of the order of 95°C, typical annealing temperatures are of the order of 55°C and extension temperatures of 72°C are generally of the correct
10 order.

In a preferred apparatus for use in the method of the invention, at least two and preferably three heating blocks are provided, each of which is under the control of an automatic
15 temperature control means. In use, one block is maintained at the denaturation temperature, one block is maintained at the annealing temperature and one block is maintained at the desired extension temperature. The disposable unit is then transferred sequentially between the blocks using the conveyor
20 means, such as a conveyor belt, and held in the vicinity of each of the said blocks for a sufficient period of time to allow the unit to reach the temperature of the block and to allow the relevant stage of the amplification reaction to take place. The conveyor means suitably comprises a timing belt
25 attached to a stepper motor.

Each heating block can be segregated such that individual wells or groups of wells within the disposable unit reach different temperatures in some or all of the reaction stages. For
30 example, the annealing block could be segregated into four zones to allow four different annealing temperatures to be reached in different wells in the disposable unit. This may be required to ensure the specificity of four different specific amplification reactions.

If necessary, actuators such as solenoids, may be provided above each block and arranged to clamp the disposable unit against the block when it is arranged above it so as to ensure good thermal contact.

5

Suitably the actuators themselves may comprise heating elements, which are maintained at similar temperatures to the blocks. These can then contribute to the heating effect to ensure that the desired reaction temperature can be reached within the unit as rapidly as possible. This may be particularly useful where the facing layer of the disposable unit is a thermally conducting layer such as an aluminium layer.

10

Operation of the conveyor means, the heating blocks, the actuators and the heating elements are controlled automatically by a computer operating a suitable algorithm to effect the desired amplification reaction.

20

An alternative form of heating apparatus may comprise an electrically conducting polymer, which may be integral with or arranged in close proximity to the disposable unit. Such apparatus is described and claimed in PCT/GB97/03187.

25

In a particularly preferred embodiment, the apparatus used in the method further comprises means to detect the presence of labelled reagents within the disposable unit. This may comprise a fluorescence detector device as mentioned above. Where the facing layer of the disposable unit is of a

30

transparent material, the fluorescence detector device can be used to detect signal generated within a well either at the end of or at any stage during the amplification reaction. Such a system may be particularly useful in connection with assays such as the TAQMAN™ assay, where continuous monitoring of the

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signal from a dual labelled probe during a PCR reaction provides the basis for quantitation of the target sequence.

The detector device is suitably arranged such that the conveyor means passes the disposable unit before it at the desired stage or stages during the amplification reaction.

Amplification reactions as described above are suitably carried out rapidly, for example in less than 20 minutes. This may be achieved by holding the reagents at the temperatures required for the various for about 30 seconds. This means that the results of the reaction can be ascertained early and also that the effects of diffusion of reagents between wells where there is a common channel are minimised or eliminated.

In a particular embodiment, the invention provides method of carrying out an amplification reaction, said method comprising supplying to a well in a disposable unit as described above (a) a sample which contains or is suspected of containing a target nucleic acid sequence (b) primers and enzymes required to effect said amplification reaction and (c) a buffer system which allows the amplification reaction to be carried out in said unit; subjecting the unit to thermal cycling conditions such that any target nucleic acid present within the sample is amplified.

Preferred variants including buffer systems, disposable units etc. are as set out above. In particular, said disposable unit comprises a thermally conducting layer and a facing layer having one or more reagent wells defined therebetween, characterised in that said thermally conducting layer comprises a metal.

The invention will now be particularly described by way of example with reference to the accompanying diagrammatic drawings in which:

- 5 Figure 1 shows an embodiment of a disposable unit useful in the method of the invention;

Figure 2 is an expanded section on line X-X of Figure 1;

- 10 Figure 3 shows an alternative embodiment of the disposable unit useful in the method of the invention;

Figure 4 is a schematic diagram of apparatus used to fill a disposable unit.

15

The following Example illustrates the invention.

- The disposable unit 1 illustrated in Figure 1 comprises a "credit card" size unit having a thin (approximately 10-20 μ m) backing layer 2 of aluminium foil (Figure 2). A spacing layer 3 of polycarbonate approximately 175-250 μ thick is adhered to the backing layer 2 by means of an adhesive layer 4. Holes 5 and a channel 6 interconnected with the holes 5, is provided in the spacing layer 3. A facing layer 7, also of polycarbonate and of the order to 175 μ m thick is adhered to the spacing layer 3 by a further adhesive layer 8.
- 20
- 25

- Dried reagents (not shown) such as PCR reagents as described above may be applied to the backing layer 2 or the facing layer 7 prior to assembly by the adhesive layers. These reagents are applied such that they will be coincident with holes 5 spacing layer 3.
- 30

- Once assembled, the holes 5 define reagent wells containing the pre-dried reagents.
- 35

5 layer coating with an approximately 5 μ m thick polystyrene coating thereon. By selectively heat sealing the layers together, wells 10 and an interconnecting channel 11 can be defined.

Again, dried reagents such as PCR reagents appropriate for use
15 in the method of the invention are suitably applied to either
the backing layer 3 or the facing layer 7 prior to heat
sealing, and arranged such that in the final unit, they are
present within the wells 10.

30

The vacuum pump 14 is then operated to evacuate the oven 13. Air in the wells 5 and channel 6 in the disposable unit 1 is bubbled through the liquid 18. Once the vacuum has been established, the pressure within the oven 13 is allowed to

increase by operation of the valve 16, whereupon liquid 18 is forced into the channel 6 and wells 5 of the unit 1.

The filled unit is then removed from the oven and the open end of the channel 6 sealed for example by heat sealing if appropriate or by addition of an adhesive such as Araldite™.

This unit is then subjected to thermal cycling such that PCR amplification reactions take place in each well provided the sample includes nucleic acid which hybridises to the primers present in the well.

Example 1

Materials used in this experiment were magnesium Chloride (Product No M-1028), Bovine Serum Albumin (Product No B-8667), Glycerol (Product No G-5516), Trizma® pre-set crystals pH 8.8 (Product No T-5753), Tween®20 (Product No P-2690), HPLC Mega Ohm water (Product No 27,073-3) and Ammonium Sulphate (Product No 7783-20-2), obtained from Sigma Chemicals, Fancy Road, Poole, Dorset, UK. Taq DNA polymerase 5 units/μl, and PCR dNTP's nucleotides were obtained from Boehringer Mannheim UK (Diagnostics & Biochemicals) Limited, Bell Lane, Lewes, East Sussex BN7 1LG, UK). Custom oligonucleotide primers (HPLC Grade) were obtained from Cruachem Ltd, Todd Campus, West of Scotland Science Park, Acre Road, Glasgow G20 0UA, UK.

The target DNA was an engineered internal control construct, pYP100ML, containing PCR primer sites for the anticoagulase gene of *Yersinia pestis*. The primer sequences were YPPA155 (dATGACGCAGAAACAGGAAGAAAGATCAGCC) and YPP229R (dGGTCAGAAATGAGTATGGATCCCAGGATAT). These primers amplify a 104bp amplicon.

Reagents were prepared using the formulations in Tables 1. The buffers had four different adjuncts added, resulting in 16 buffer formulations (Table 2).

- 5 PCR was performed with one of the buffer combinations, 200 μ M dNTP's (each), 1 μ M primers, and 0.04U/ μ l Taq DNA polymerase. 10pg/ μ l of pYP100ML construct was used as DNA template.

- The apparatus for filling the disposable units consisted of an
10 Edwards Speedvac II pump connected to a vacuum oven.

- PCR reagents (~250 μ l volume) were loaded into the groove of the filling tool and the disposable unit set in place. The unit and filling tool were placed into a vacuum oven and a vacuum
15 was drawn. The pump was operated in accordance with the manufacturer's instructions. Once a vacuum of ~20mbar was reached, the pump was switched off. Once the pressure was equilibrated at atmospheric pressure, the disposable unit assembly was removed. The channels in the disposable units
20 contained the PCR reagents. The opening of the credit card was sealed with a PCR compatible adhesive (Araldite®) was allowed to cure on ice for ~1hr.

- Testing of the disposable units was carried out on the Perkin
25 Elmer 9700 machine using the following temperature profile: denature at 97°C for 20 seconds, annealing at 50°C for 20 seconds, and extension at 75°C for 20 seconds. The 9700 block was flooded with oil to ensure good thermal contact between the block and credit card. Control PCR reaction mixtures were also
30 run on this machine using the above parameters.

Testing was also carried out on a prototype Thermal Cycling Instrument using the following reaction parameters: denature at

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Positive and negative (no template) controls were performed in
5 MicroAmp® reaction vessels and thermocycled in the Perkin
Elmer 9700 PCR instrument.

The YPPA155/YPP229R primer pair and pYP100ML construct was used
20 to study the biocompatibility of two types of disposable unit as
a platform for PCR.

The units were evaluated for PCR compatibility as well as structural integrity and retention of volume during thermal cycling.

All the chemistry PCR formulations were tested on a block thermal cyclor in a tube PCR and were shown to be effective when analysed using the technique of agarose gel electrophoresis.

5

Work then commenced on testing the PCR formulations in the disposable units of the invention. The compositions which gave positive results are indicated in Table 3 hereinafter.

- 10 Particularly rapid PCR reactions of approximately 19 minutes were achieved using apparatus of the invention comprising 3 heating blocks as described above.

15 The study demonstrated the using the disposable units of the invention as a PCR platform.

Table 1: Buffer Composition. Final 1X composition

Buffer	Composition
1	50mM Tris.HCl pH8.8 1.5mM MgCl ₂
2	50mM Tris.HCl pH8.8 1.5mM MgCl ₂ 20mM (NH ₄) ₂ SO ₄
3	75mM Tris.HCl pH8.8 1.5mM MgCl ₂
4	75mM Tris.HCl pH8.8 1.5mM MgCl ₂ 20mM (NH ₄) ₂ SO ₄

Table 2: Adjuncts added to Buffers. Final 1X composition

	Adjuncts
A	0.05% (v/v) TWEEN + 250ng/ μ l BSA
B	0.05% (v/v) TWEEN
C	8% (v/v) Glycerol + 250ng/ μ l BSA
D	Native (No adjuncts added)

Table 3: A summary of the results obtained on the affect of
 5 using disposable units of the invention as a platform for PCR

Disposable unit	Materials exposed to PCR solution	Successful chemistry composition
Thermo-seal aluminium	Polycarbonate, Polystyrene, Glass	Buffer 1 Adjunct B
		Buffer 1 Adjunct A
		Buffer 1 Adjunct B
		Buffer 2 Adjunct A
		Buffer 4 Adjunct A
		Buffer 4 Adjunct B
Composite	Polycarbonate, Aluminium, 7957MP Adhesive	Buffer 2 Adjunct A

Example 2

- 10 A range of materials including aluminium and Thermo-seal foil
 AB0598 with a polystyrene coating were tested for possible use
 in the development of a disposable unit for PCR. These
 were tested under normal PCR conditions and in the presence of
 a blocking agent (BSA) to determine their compatibility with
 15 the reaction.

About 25 pieces, 5mm x 5mm square (approx), of each material were cut from sheets supplied. These were put into 1.5 ml Eppendorf tubes with 1 ml 10% Tween 20 in deionised water. The tubes were vortexed and placed at 70°C for 1 - 2 hours.

5

The pieces were recovered by filtration through 1 layer of blue roll, placed in about 10 ml deionised water in a 25 ml sample bottle and shaken. This filtration and wash step was done 3 times.

- 10 Pieces of material were then placed in 1.5 ml Eppendorf tubes and stored, refrigerated, until used in a PCR reaction.

Washed samples of the materials were placed in Perkin Elmer PCR reaction tubes with various PCR mix as follows:

15

PCR Reagents

10mM Tris.HCl pH 8.3

50mM KCl

2mM or 5mM MgCl₂

- 20 0.2mM each dNTP

1µM each primer

1.25u Taq DNA polymerase

0 or 0.025% Bovine Serum Albumen (BSA)

0 or 0.5ng *E.coli* DNA

- 25 In a volume of 50µl.

The primers used delineate a 663 base section of the *E.coli* Aro A gene. The left primer is a 22mer and the right one a 21mer.

The PCR thermal cycle was:

- 30 94°C x 5 min (94°C x 30s, 55°C x 30s, 72°C x 1min)₃₀ 72°C x 7 min, 4°C hold.

Either 1 or 2 pieces of each material were added to the reaction. Control reactions without test material and without

DNA template were run each day. Amplicon was detected as bands on a gel. The results are summarised in Table 4.

Table 4

Material	PCR Mix			
	2mM MgCl ₂	5mM MgCl ₂	2mM MgCl ₂ + BSA	5mM MgCl ₂ + BSA
1 piece Aluminium foil (unwashed)	-	-	+	+
1 piece Aluminium foil (washed in Tween)	-	-	++	++
1 piece Thermo-seal foil AB-0598	-	-	++	++
2 pieces Aluminium foil (unwashed)	-	-	+	+
2 pieces Aluminium foil (washed in Tween)	-	-	+	++
2 pieces Thermo-seal foil AB-0598	-	-	-	++

5

where - indicates that no band was seen

+ indicates the presence of a band

++ indicates the presence of a brighter band.

- 10 The results show that BSA increased the compatability of the aluminium based materials (as well as many others - results not shown).

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Claims

1. A method of carrying out an amplification reaction, said method comprising supplying to a well in a disposable unit (a)
5 a sample which contains or is suspected of containing a target nucleic acid sequence (b) primers, nucleotides and enzymes required to effect said amplification reaction and (c) a buffer system, and subjecting the unit to thermal cycling conditions such that any target nucleic acid present within the sample is
10 amplified; wherein the disposable unit comprises a thermally conducting layer and a facing layer having one or more reagent wells of up to 1000 microns in depth defined therebetween; and the reaction mixture comprises at least one of the following:
A) a buffer system wherein the p.H. is above 8.3;
15 B) a detergent; and/or
C) a blocking agent.
2. A method according to claim 1 wherein the p.H. of the buffer system is above 8.3.
20
3. A method according to claim 2 wherein the p.H. of the buffer system is from 8.7-9.0
4. A method according to any one of the preceding claims
25 wherein the buffer system comprises from 30-70mMTris HCl.
5. A method according to claim 5 wherein the buffer system comprises about 50mM Tris HCl pH 8.8 @ 25°C.
- 30 6. A method according to any one of the preceding claims wherein the reaction mixture further comprises from 0.01 to 0.1% v/v detergents.
7. A method according to claim 6 wherein the said detergent
35 comprises Tween TM or TritonTM.

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8. A method according to anyone of the preceding claims wherein the reaction is effected in the presence of a blocking agent which comprises bovine serum albumin (BSA).

5

9. A method according to any one of the preceding claims wherein the thermally conducting layer of the disposable unit is metal.

10. A method according to any one of the preceding claims wherein the thermally conducting metal layer of the disposable unit is aluminium.

11. A method according to any one of the preceding claims wherein the thermally conducting metal layer is coated with a plastic or other biocompatible layer.

12. A method according to claim 11 wherein the biocompatible layer is polystyrene.

20

13. A method according to any one of the preceding claims wherein the thermally conducting layer and the facing layer of the disposable unit are heat sealed together.

14. A method according to any one of the preceding claims wherein the facing layer of the disposable unit comprises a thermally conducting layer.

15. A method according to any one of the preceding claims wherein the facing layer of the disposable unit is of a transparent biocompatible plastics material.

16. A method according to any one of the preceding claims which further comprises a spacing layer having holes and channels to

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define reagent wells and channels adhered between the thermally conducting layer and the facing layer.

17. A method according to claim 16 wherein the layers are
5 adhered together by means of a biocompatible adhesive.

18. A method according to any one of the preceding claims wherein spacer means are provided within each reagent well.

10 19. A method according to any one of the preceding claims wherein the wells are pre-dosed with dried reagents.

20. A method according to claim 15 wherein the dried reagents are PCR reagent primers or probes.
15

21. A method according to any one of the preceding claims wherein the disposable unit contains a plurality of wells and each well is fed by a common channel which has a single opening to the outside of the unit.
20

22. A method according to any one of the preceding claims wherein the disposable unit is placed in apparatus comprising at least two heating blocks, each of which is under the control of an automatic temperature control means, and conveyor means
25 for holding and transferring a disposable unit according to any one of the preceding claims sequentially between the blocks.

23. A method according to claim 22 wherein the apparatus further comprises an actuator above each block and arranged to
30 clamp the disposable unit against the respective block.

24. A method according to claim 22 or claim 23 wherein the heating block is segregated and each segment is held at a different temperature.
35

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25. A method according to any one of claims 1 to 21 wherein the disposable unit is integral with or arranged in close proximity to an electrically conducting polymer.

5 26. A method according to any one of the preceding claims wherein the presence of labelled reagents within the disposable unit is monitored.

10 27. A kit for conducting a polymerase chain reaction, said kit comprising a buffer system comprising a buffer of p.H. in excess of 8.3, and at least one disposable unit comprising a thermally conducting layer and a facing layer having one or more reagent wells of up to 1000 microns depth defined therebetween.

15 28. A kit according to claim 27 wherein the thermally conducting layer of the disposable unit is a metal layer.

20 29. A disposable unit for conducting a thermal cycling reaction, said unit comprising a thermally conducting layer and a facing layer having a plurality of reagent wells defined therebetween, characterised in that all the wells are fed by a common channel which includes a single opening to the outside of the unit.

25 30. A disposable unit according to claim 29 wherein the wells are predosed with dried reagents.

30 31. A disposable unit according to claim 30 wherein the dried reagents are PCR reagent primers or probes.

32. A disposable unit according to any one of claims 29 to 31 wherein said thermally conducting layer is a metal layer.

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33. A method of filling a disposable unit according to any one of claims 29 to 32 with a liquid, said method comprising using air pressure to force liquid into said unit.

- 5 34. A method according to claim 33 which comprises placing the unit and said liquid in a vacuum chamber, reducing pressure in said chamber such that air is evacuated from the disposable unit, immersing at least the opening of said unit in said liquid, and increasing pressure in said chamber such that
10 liquid is forced to enter the unit through the opening.

35. A method according to claim 34 wherein the opening is immersed in said liquid before the pressure in the chamber is reduced.

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(54) Title: REACTION SYSTEM FOR PERFORMING IN THE AMPLIFICATION OF NUCLEIC ACIDS

(57) Abstract: A method of carrying out an amplification reaction, said method comprising supplying to a well in a disposable unit (a) a sample which contains or is suspected of containing a target nucleic acid sequence (b) primers, nucleotides and enzymes required to effect said amplification reaction and (c) a buffer system, and subjecting the unit to thermal cycling conditions such that any target nucleic acid present within the sample is amplified; wherein the disposable unit comprises a thermally conducting layer and a facing layer having one or more reagent wells of up to 1000 microns in depth defined therebetween; and the reaction mixture comprises at least one of the following: A) a buffer system wherein the pH is above 8.3; B) a detergent; and/or C) a blocking agent. Apparatus for effecting the method as well as disposable units for use in the method are described. The method is particularly suitable for rapid PCR reactions.

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Fig.1.

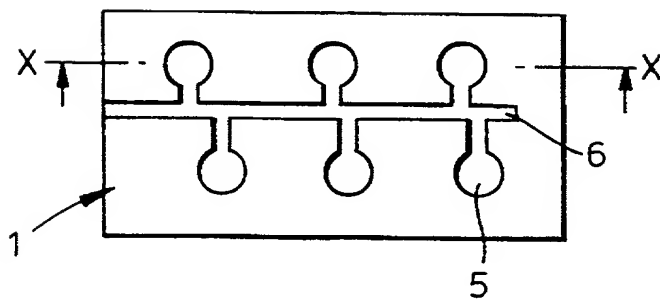


Fig.2.

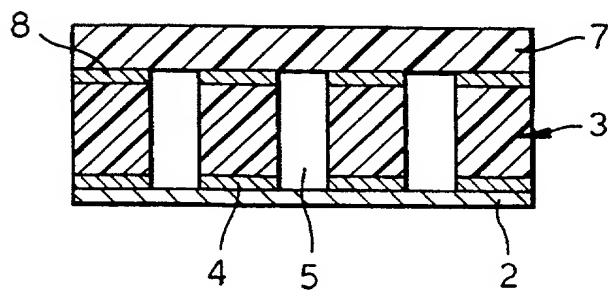
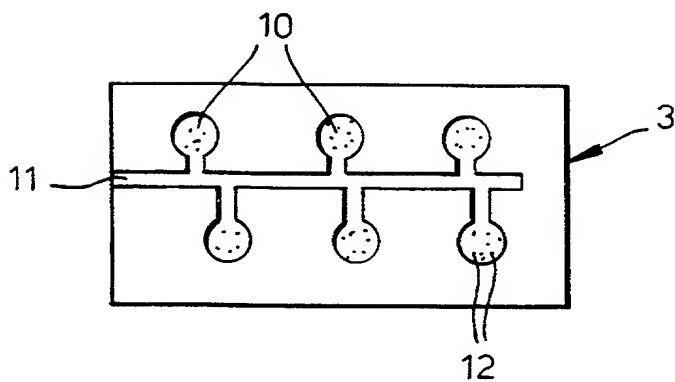


Fig.3.



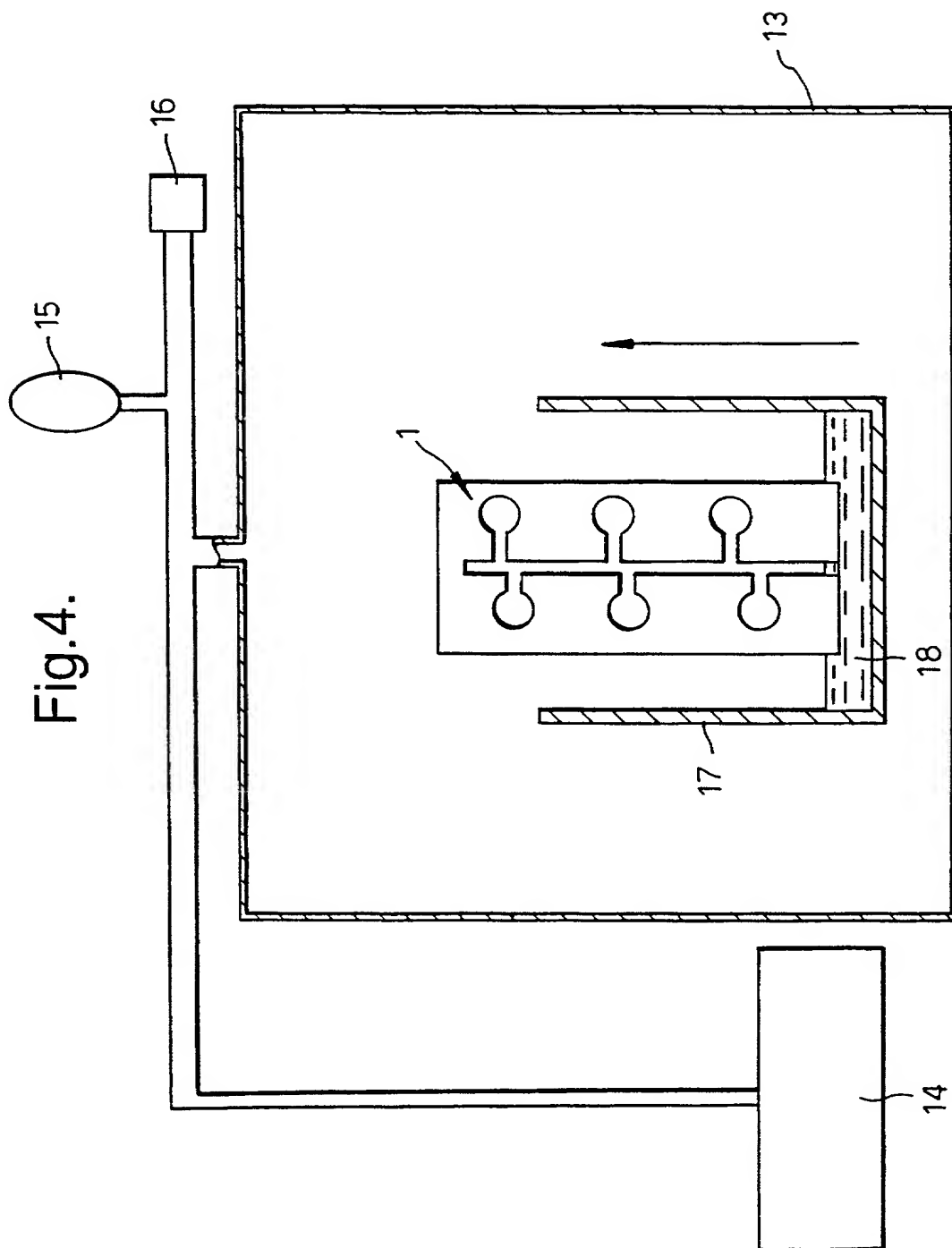


Fig.4.

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PCT/GB00/03743	29 September 2000		Pending	

As a named inventor, I hereby revoke all prior powers and appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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George T. Marcou	33,014
Bernard J. Graves, Jr.	33,239
Dean W. Russell	33,452
Richard T. Peterson	35,320
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R. Whitney Winston	44,432
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Dawn-Marie Bey	44,442
Tiep H. Nguyen	44,465
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Kristin L. Johnson	44,807
J. Jason Link	44,874
Bambi F. Walters	45,197
J. Michael Boggs	P46,563
Adam E. Crall	P46,646
Kyle M. Globberman	P46,730
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Camilla Camp Williams	<u>43,992</u>
Carl B. Massey	<u>44,224</u>
R. Whitney Winston	<u>44,432</u>
John William Ball, Jr.	<u>44,433</u>
Dawn-Marie Bey	<u>44,442</u>
Tiep H. Nguyen	<u>44,465</u>
Michael J. Dimino	<u>44,657</u>
Kristin L. Johnson	<u>44,807</u>
J. Jason Link	<u>44,874</u>
Bambi F. Walters	<u>45,197</u>
J. Michael Boggs	<u>P46,563</u>
Adam E. Crall	<u>P46,646</u>
Kyle M. Globerman	<u>P46,730</u>
Tywanda L. Harris	<u>P46,758</u>
Kristin D. Mallatt	<u>P46,895</u>
Cynthia B. Rothschild	<u>P47,040</u>

I acknowledge the above-listed attorneys and agents and their firm Kilpatrick Stockton LLP represent my employer (if I am an employee and this application has been or will be assigned to my employer) or the entity with which I have contracted (if I am an

DECLARATION FOR PATENT APPLICATION☒ Original☐ Supplemental☐ Substitute☐ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

REACTION SYSTEM FOR PERFORMING IN THE AMPLIFICATION OF NUCLEIC ACIDS

the specification of which (check one)

☐ is attached hereto☐ was filed on _____ as U. S. Application Serial Number _____☒ was filed as PCT International Application Number PCT/GB00/03743 on 29 September 2000

and was amended under PCT Article 19 on 16 October 2001

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified, by checking the box below, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Applications			Priority Claimed		Copy Attached	
Application Number	Country	Foreign Filing Date	YES	NO	YES	NO
9922971.8	GB	29 September 1999	Yes			No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below and claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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Full name of second inventor David James SQUIRRELL
Inventor's signature _____ Date _____
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Post Office Address DSTL, Porton Down, Salisbury, Wiltshire. SP4 0JQ.

Full name of second inventor John SHAW
Inventor's signature _____ Date _____
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Full name of second inventor David WENN
Inventor's signature _____ Date _____
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Full name of second inventor Julie DEACON
Inventor's signature _____ Date _____
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1006496.03200
"03200" 9646300T

U.S. Serial No.
For: "
Inventors:
Filed:
Declaration for Patent Application
Page 3

independent contractor and this application has been or will be assigned to such entity) and in such cases do not represent me individually. I further acknowledge I have not established, nor will I seek to establish, any personal attorney/client relationship with Kilpatrick Stockton LLP in connection with this application and understand that, should I require legal representation, I will obtain such, at my expense, other than through Kilpatrick Stockton LLP.

Send Correspondence to: John S. Pratt, Esq.
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1100 Peachtree Street, Suite 2800
Atlanta, Georgia 30309-4530

Direct telephone calls to: Dean W. Russell, Esq. (404) 815-6528

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Martin Alan LEE

Inventor's signature _____ Date _____

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Citizenship GB

Post Office Address DSTL, Porton Down, Salisbury, Wiltshire. SP4 0JQ.

Full name of second inventor Hilary BIRD

Inventor's signature _____ Date _____

Residence GB

Citizenship GB

Post Office Address DSTL, Porton Down, Salisbury, Wiltshire. SP4 0JQ.

Full name of second inventor Dario Lyall LESLIE

Inventor's signature _____ Date _____

Residence GB

Citizenship GB

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U.S. Serial No.
For: " "
Inventors:
Filed:
Declaration for Patent Application
Page 3

independent contractor and this application has been or will be assigned to such entity) and in such cases do not represent me individually. I further acknowledge I have not established, nor will I seek to establish, any personal attorney/client relationship with Kilpatrick Stockton LLP in connection with this application and understand that, should I require legal representation, I will obtain such, at my expense, other than through Kilpatrick Stockton LLP.

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Kilpatrick Stockton LLP
1100 Peachtree Street, Suite 2800
Atlanta, Georgia 30309-4530

Direct telephone calls to: Dean W. Russell, Esq. (404) 815-6528

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Full name of first inventor Martin Alan LEE

Inventor's signature *M. Lee* x

Date x 19/02/02

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GB3

Full name of second inventor Dario Lyall LESLIE

Inventor's signature _____ Date _____

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U.S. Serial No.
For: " "
Inventors:
Filed:
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Page 3

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Full name of first inventor Martin Alan LEE
Inventor's signature _____ Date _____
Residence GB
Citizenship GB
Post Office Address DSTL, Porton Down, Salisbury, Wiltshire. SP4 0JQ.

Full name of second inventor Hilary BIRD
Inventor's signature _____ Date _____
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300 Full name of second inventor Dario Lyall LESLIE
Inventor's signature X [Signature] Date X 20/2/0.
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400 Full name of second inventor David James SQUIRRELL

Inventor's signature X [Signature]

Date X

1st March 2002

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GB3

Full name of second inventor John SHAW

Inventor's signature _____

Date _____

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Full name of second inventor David WENN

Inventor's signature _____

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Post Office Address Central Research Laboratories Limited, Dawley Road, Hayes, Middlesex. UB3 1HH

Full name of second inventor Julie DEACON

Inventor's signature _____

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Full name of second inventor David James SQUIRRELL

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Full name of second inventor John SHAW

Inventor's signature X John Shaw Date X 18/02/2002

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Full name of second inventor David WENN

Inventor's signature ☒ [Signature] Date ☒ 18/02/2002

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Full name of second inventor Julie DEACON

Inventor's signature X J. De Date X 18/02/2002

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